

Docket No.: 198249US2CONT

OBLON
SPIVAK
MCCLELLAND
MAIER
&
NEUSTADT

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

ATTORNEYS AT LAW

RE: Application Serial No.: 09/689,696

Applicants: Masako NUKAGA, et al.

Filing Date: October 13, 2000

For: METHOD OF CONTROLLING

INTERMODULATION DISTORTION OF NON-

RECIPROCAL DEVICE

Group Art Unit: 2817

Examiner: JONES, STEPHEN E

SIR:

Attached hereto for filing are the following papers:

PETITION UNDER 37 C.F.R. § 1.181 (A)(3)
COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Marvin J. Spirak

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22850

**Customer Number** 

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OTPE TO

DOCKET NO: 198249US2CONT

## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

:

MASAKO NUKAGA, ET AL.

: EXAMINER: S. JONES

SERIAL NO: 09/689,696

:

FILED: OCTOBER 13, 2000

: GROUP ART UNIT: 2817

FOR: METHOD OF CONTROLLING INTERMODULATION DISTORTION OF

NON-RECIPROCAL DEVICE

PETITION UNDER 37 C.F.R. § 1.181(A)(3)
TO INVOKE THE SUPERVISORY AUTHORITY OF THE COMMISSIONER

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant herein petitions the Commissioner to invoke his supervisory authority to require the examiner to consider the International Search Report cited in the Information Disclosure Statement filed October 13, 2000.

An Information Disclosure Statement in conformity with the requirements of 37 C.F.R. § 1.97 and § 1.98 was filed with the application on October 13, 2000. Copies of the Information Disclosure Statement, the List of References Cited by Applicants (i.e., PTO-1449 Form), the International Search Report, and a date-stamped filing receipt are attached. Although the references cited by the International Search Report and listed at items AO-AR and AW of the PTO-1449 form were acknowledged, the International Search Report itself at item AX was struck through and therefore not acknowledged or made of record by the examiner.

Although Applicants do not believe that any fee is required for the present petition, any required fee should be charged the undersigned attorneys account no. 15-0030. As the above-identified application has already been allowed, an early response to this Petition is hereby respectfully requested.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Customer Number 22850

Tel: (703) 413-3000 Fax: (703) 413 -2220 (OSMMN 06/04) Eckhard H. Kuesters Attorney of Record Registration No. 28,870

# BEST AVAILABLE COPY

Dept.: E/M

OSMM&N File No. 198249US-2 CONT

By: GJM/EHK/mlh

Serial No. **NEW PATENT APPLICATION** 

In the matter of the Application of: MASAKO NUKAGA ET AL

-METHOD OF CONTROLLING INTERMODULATION...

The following has been received in the U.S. Patent Office on the date stamped hereon:

- 31 pp. Specification & 39 Claims/ Drawings
- Sheets (Formal)
- Combined Declaration, Petition & Power of Attorney
- 4 pages

Dep. Acct. Order Fm

- Recordation Form Cover Sheet/Executed Assignment (3 pgs.)
- Utility Patent Application

Notice of Priority

□ Priority Doc

PTO-1449

- \$1,972.00 Check for
- Fee Transmittal Form
- White Advance Serial Number Cand
- Information Disclosure Statement
- Cited References (7)
- International Search Report
- Statement of Relevancy
- □ Brief
- □ Issue Fee Transmittal

Due Date: 10/14/2000

Docket No.

198249US-2 CONT



IN RE APPLICATION OF:

MASAKO NUKAGA ET AL

SERIAL NO:

NEW PATENT APPLICATION

GAU:

FILED:

HEREWITH

**EXAMINER:** 

FOR:

METHOD OF CONTROLLING INTERMODULATION DISTORTION OF NON-RECIPROCAL DEVICE

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required. Also attached is an International Search Report and a Statem ent of Relevancy.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

## **RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **PETITION**

Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(i)(1).

## **DEPOSIT ACCOUNT**

■ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.



22850

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Tel. (703) 413-3000 Fax. (703) 413-2220

(OSMMN 10/98)

Gregory J. Maier

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25,599

Eckhard H. Kuesters

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28,870



Form PTO 1449 (Modified)	-	U.S. DEPARTMENT O PATENT AND TRADE				SERIAL NO.  NEW APPLICATION			
				ADDITIONAL TO A POPULATION AND A POPULAT					
LIST OF REFERENCES CITED BY APPLICANT			MASAKO NUKAGA ET AL						
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				U.S. PATENT DOCUMENTS			N.	٠ د ک	J. J. C.
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		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO			
	AO	3-288406	12/18/91	JAPAN (w/English Abstract)					Х
	AP	7-157313	06/20/95	JAPAN (w/English Abstract)					X
	AQ	8-288116	11/01/96	JAPAN (w/English Abstract)					X
	AR	7-61821	03/07/95	JAPAN (w/English Abstract)					X
	AS	56-10767	03/10/81	JAPAN	0				X
	AT	56-31288	07/20/81	JAPAN					X
	AU								
	AV								
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	AW	M. Nukaga et al., "Relationship between the Magnetic Properties of YIG Ferrites and the IMD (Intermodulation Distortion) of an Isolator, Journal of the Applied Magnetics Association of Japan, Vol. 22, No. 4-2, (1998), p. 673-676							
	AX	INTERNATIONAL SEARCH REPORT							
Examiner	•	Date Considered							
*Examiner: In	itial if re	eference is considered, w t considered. Include cop	hether or not y of this form	citation is in conformance with Now with next communication to app	MPEP 609; Draw blicant.	line througl	n citatio	on if n	ot in



# INTERNATIONAL SEARCH REPORT

International application No.
PCT/JP99/01996

A. CLASSIFICATION OF SUBJECT MATTER Int.Cl <sup>6</sup> C01G49/00, C04B35/26, H01F1/34, H01P1/32									
According to International Patent Classification (IPC) or to both national classification and IPC									
B. FIELDS SEARCHED									
Minimum documentation searched (classification system followed by classification symbols) Int.Cl <sup>6</sup> C01G49/00, C04B35/26, H01F1/34, H01P1/32									
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched									
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)									
C. DOCU	MENTS CONSIDERED TO BE RELEVANT								
Category*	Citation of document, with indication, where app	ropriate, of the relevant passages	Relevant to claim No.						
Р, Х	Masako Gakuta, et al., "YIG fe to isolator no IMD tokusei ton the Applied Magnetics Associat No. 4-2, (1998), p.673-676	no soukan", Journal of	1-17						
х	JP, 3-288406, A (Murata Mfg. 18 December, 1991 (18. 12. 91 & GB, 2243152, A	1–5							
х	JP, 7-157313, A (Murata Mfg. 20 June, 1995 (20. 06. 95) (	1-5							
<b>X</b>	JP, 8-288116, A (Matsushita Co., Ltd.), 1 November, 1996 (01. 1196) & EP, 737987, A1	1-5							
х	JP, 7-61821, A (Taiyo Yuden 7 March, 1995 (07. 03. 95) (	1-5							
Furth	er documents are listed in the continuation of Box C.	See patent family annex.							
"A" docum conside "E" earlier "L" docum cited to specia "O" docum means "P" docum the pri	nent published prior to the international liling date but later than iority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art document member of the same patent family  Date of mailing of the international search report  13 July, 1999 (13. 07. 99)							
	mailing address of the ISA/	Authorized officer							
Jap	anese Patent Office								